

PATENT
Attorney Docket No. BSC-161J1011 U.S. PTO
10/025388
12/19/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Sater
SERIAL NO.: Not Yet Assigned GROUP NO.: Not Yet Assigned
FILING DATE: Herewith EXAMINER: Not Yet Assigned
TITLE: SURGICAL ANCHOR IMPLANTATION DEVICE

BOX: Patent Application
Commissioner for Patents
Washington, D.C. 20231

#4

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application, or within three (3) months of the **date of entry of the national stage** as set forth in 37 CFR 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 CFR 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 CFR 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 CFR 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**

☐ the requisite petition fee under 37 CFR 1.17(p), namely \$180.00 is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 CFR 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: December 19, 2001
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FORM PTO - 1449

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U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	3,571,861	3/23/1971	Olson			11/26/1968
	A2	3,612,475	10/12/1971	Dinger			4/2/1969
	A3	3,713,622	1/30/1973	Dinger			2/26/1971
	A4	3,857,396	12/31/1974	Hardwick			8/22/1973
	A5	3,874,042	4/1/1975	Eddleman et al.			1/22/1973
	A6	4,454,875	6/19/1984	Pratt et al.			4/15/1982
	A7	4,492,232	1/8/1985	Green			9/30/1982
	A8	4,534,350	8/13/1985	Golden et al.			6/20/1983
	A9	4,548,201	10/22/1985	Yoon			4/20/1982
	A10	4,548,202	10/22/1985	Duncan			6/20/1983
	A11	4,612,923	9/23/1986	Kronenthal			12/1/1983
	A12	4,646,741	3/3/1987	Smith			11/9/1984
	A13	4,794,927	1/3/1989	Yoon			10/21/1985
	A14	4,889,119	12/26/1989	Jamiolkowski et al.			1/20/1988
	A15	4,932,962	6/12/1990	Yoon et al.			5/16/1989
	A16	4,955,913	9/11/1990	Robinson			7/31/1989
	A17	4,960,420	10/2/1990	Goble et al.			8/23/1988
	A18	4,997,433	3/5/1991	Goble et al.			1/16/1990
	A19	5,013,316	5/7/1991	Goble et al.			5/26/1990
	A20	5,078,731	1/7/1992	Hayhurst			6/5/1990
	A21	5,152,034	10/6/1992	Konings et al.			10/19/1990
	A22	5,171,251	12/15/1992	Bregen et al.			3/2/1992
	A23	5,203,864	4/20/1993	Phillips			4/5/1991
	A24	5,217,472	6/8/1993	Green et al.			5/7/1991
EXAMINER				DATE CONSIDERED			

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A25	5,257,713	11/2/1993	Green et al.			8/18/1992
	A26	5,282,832	2/1/1994	Toso et al.			10/9/1992
	A27	5,289,963	3/1/1994	McGarry et al.			10/18/1991
	A28	5,290,217	3/1/1994	Campos			10/10/1991
	A29	5,290,297	3/1/1994	Phillips			4/2/1993
	A30	5,328,077	7/12/1994	Lou			11/19/1992
	A31	5,333,624	8/2/1994	Tovey			2/24/1992
	A32	5,354,292	10/11/1994	Braeuer et al.			3/2/1993
	A33	5,356,064	10/18/1994	Green et al.			9/23/1992
	A34	5,362,294	11/8/1994	Seitzinger			9/25/1992
	A35	5,364,002	11/15/1994	Green et al.			3/31/1992
	A36	5,366,459	11/22/1994	Yoon			9/18/1991
	A37	5,376,097	12/27/1994	Phillips			4/2/1993
	A38	5,425,489	6/20/1995	Shichman et al.			2/9/1994
	A39	5,425,737	6/20/1995	Burbank et al.			7/9/1993
	A40	5,425,740	6/20/1995	Hutchinson, Jr.			5/17/1994
	A41	5,449,365	9/12/1995	Green et al.			4/19/1994
	A42	5,452,836	9/26/1995	Huitema et al.			2/7/1994
	A43	5,464,416	11/7/1995	Steckel			11/10/1992
	A44	5,474,543	12/12/1995	McKay			5/17/1993
	A45	5,497,933	3/12/1996	DeFonzo et al.			10/8/1993
	A46	5,507,754	4/16/1996	Green et al.			8/20/1993
	A47	5,542,594	8/6/1996	McKean et al.			10/6/1993
	A48	5,549,619	8/27/1996	Peters et al.			6/4/1992 (PCT)
	A49	5,562,689	10/8/1996	Green et al.			2/8/1995
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U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A50	5,618,290	4/8/1997	Toy et al.			1/24/1995
	A51	5,649,937	7/22/1997	Bito et al.			6/5/1995
	A52	5,649,939	7/22/1997	Reddick			5/5/1994
	A53	5,658,313	8/19/1997	Thal			7/15/1996
	A54	5,665,112	9/9/1997	Thal			7/15/1996
	A55	5,776,147	7/7/1998	Dolendo			10/8/1996
	A56	5,816,258	10/6/1998	Jervis			6/13/1996
	A57	5,836,961	11/17/1998	Kieturakis et al.			9/20/1993
	A58	5,904,692	5/18/1999	Steckel et al.			4/14/1997
	A59	5,904,696	5/18/1999	Rosenman			11/4/1997
	A60	5,908,427	6/1/1999	McKean et al.			5/30/1997
	A61	5,931,840	8/3/1999	Goble et al.			1/28/1998
	A62	5,954,057	9/21/1999	Li			4/22/1998
	A63	5,960,522	10/5/1999	Boe			5/21/1997
	A64	5,989,250	11/23/1999	Wagner et al.			2/20/1998
	A65	6,024,748	2/15/2000	Manzo et al.			6/17/1997
	A66	6,045,560	4/4/2000	McKean et al.			6/17/1996
	A67	6,099,552	8/8/2000	Adams			11/12/1997
	A68	6,102,921	8/15/2000	Zhu et al.			12/21/1990
	A69	6,109,500	8/29/2000	Alli et al.			10/3/1997
	A70	6,139,555	10/31/2000	Hart et al.			4/17/1997 (PCT)
	A71	6,155,473	12/5/2000	Tompkins et al.			5/5/1999
	A72	6,241,736 B1	6/5/2001	Sater et al.			5/11/1999
	A73	6,241,748 B1	6/5/2001	Adams			4/28/2000
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FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLAS S	SUB CLASS	FILING DATE	ABSTRAC T ONLY	ENGLISH LANG Y/N
	B1	2 248 778 A	4/22/1992	GB			10/9/1990	No	Yes
	B2	95/30374	11/16/1995	WO			5/5/1995	No	Yes
	B3	97/29705	8/21/1997	WO			2/12/1997	No	Yes
	B4	99/62406	12/9/1999	WO			6/3/1999	No	Yes
	B5	99/62406 (corrected version)	12/9/1999	WO			6/3/1999	No	Yes
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER					DATE CONSIDERED				